

Docket No.: 1016-013

**PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lup San Leong : Confirmation No.: 8978  
 Serial No.: 09/848,997 : Examiner: Maria F. Guerrero  
 Filed: May 4, 2001 : Group Art Unit: 2822  
 For: THERMAL MECHANICAL  
 PLANARIZATION IN INTEGRATED  
 CIRCUITS

TRANSMITTAL FOR ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Response / Amendment	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> After Final	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

The fee, if required, has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	12	20	0	x \$18 =	\$ 0.00
Independent Claims	2	3	0	x \$86 =	\$ 0.00
If multiple claims newly presented, add \$290					
Fee for extension of time					
Other:					
TOTAL FEE					\$0.00

- ☐ Please charge Deposit Account No. 50-0374 in the amount of \$ 0.00. An additional copy of this transmittal sheet is submitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 50-0374, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

*Mikio Ishimaru*

Mikio Ishimaru  
 Registration No. 27,449  
 Date: October 20, 2003

PTO/SB/97 (05-03)

Approved for use through 4/30/2003. OMB 0651-0031

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With reference to serial number 09/848,997, the following are  
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Fax Cover Sheet  
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Transmittal  
Response/Amendment

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**The Law Offices of Mikio Ishimaru**

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**Fax****OFFICIAL**

To: Mail Stop Non-Fee  
Amendment  
Examiner Maria F. Guerrero

From: Mikio Ishimaru

Fax: (703) 872-9306  
TC 2800 - Before Final

Pages: 12, including this page

Phone: 703-305-0162

Date: October 20, 2003

Re: U.S. Patent Application Serial CC:  
No. 09/848,997

☒ Response/Amendment to Office Action

☐ Information

☐ Other

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For confirmation or assistance, call (408) 738-0592

Dear Examiner Guerrero:

Attached are a Certificate of Transmission, Transmittal, and Response/Amendment to the Office Action dated September 12, 2003, for U.S. Patent Application Serial No. 09/848,997 (attorney docket no. 1016-013 ).

Respectfully submitted,



Mikio Ishimaru  
Reg. No. 27,449